

04-23-07

IFW 3694/13



PATENT

Paper No.

File: 1902/005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : ERLANGER, Michael D.
Serial No. : 09/370,619
Filed : 7 August 1999
For : EFFICIENT MARKET FOR FINANCIAL PRODUCTS
Group Art Unit : 3694
Examiner : COLBERT, Ella

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF EXPRESS MAILING

S I R:

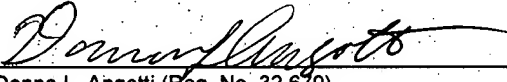
"Express Mail" mailing label number ER 651766680 US

I, Donna L. Angotti (Reg. No. 32,679), hereby certify that the following papers or fees:

1. Transmittal Letter;
2. Amendment and Response;
3. Clean Copy of Substitute Abstract;
4. Amended Copy of Substitute Abstract;
5. Petition for Extension of Time (3 Months);
6. Fee Transmittal Form;
7. Check for total Amount of \$ 1135.00 (\$510.00 for extension fee and \$625.00 for extra claims fee);
and
8. Self-addressed stamped postcard

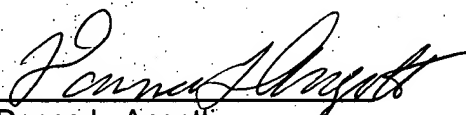
are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below:

Date: April 19, 2007

Signed: 
Donna L. Angotti (Reg. No. 32,679)

Respectfully submitted,

Date: April 19, 2007


Donna L. Angotti
(Reg. No. 32,679)

The Law Offices of Donna L. Angotti
140 Broadway
Suite 4600
New York, NY 10005
(212) 858-7515

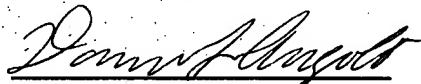
7. Check for total Amount of \$ 1135.00 (\$510.00 for extension fee and \$625.00 for extra claims fee); and
8. Self-addressed stamped postcard.

APPLICANT CLAIMS SMALL ENTITY STATUS. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application, including any fee for an extension of time for entry of this Amendment, or credit any overcharges to Deposit Account No. 50-3219.

Please direct all correspondence to the undersigned at the address given below.

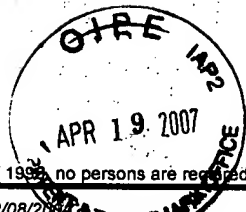
Respectfully submitted,

Date: April 19, 2007



Donna L. Angotti
(Reg. No. 32,679)

The Law Offices of Donna L. Angotti
140 Broadway
Suite 4600
New York, NY 10005
(212) 858-7515



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2006
Fees pursuant to the Consolidated Appropriations Act, 2006 (H.R. 4818).**FEE TRANSMITTAL**
For FY 2007☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1135.00

Complete if Known

Application Number	09/370,619
Filing Date	August 7, 1999
First Named Inventor	ERLANGER, Michael David
Examiner Name	COLBERT, Ella
Art Unit	3694
Attorney Docket No.	1902/005

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 50-3219 Deposit Account Name: Donna L. Angotti

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25

Each independent claim over 3 (including Reissues)

200	100
-----	-----

Multiple dependent claims

360	180
-----	-----

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
126	9	25.00	225.00

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
14	4	100.00	400.00

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Extension of Time (3 Month) Small Entity

\$510.00

SUBMITTED BY

Signature	<i>Donna L. Angotti</i>	Registration No. (Attorney/Agent) 32,679	Telephone (212) 858-7515
Name (Print/Type)	Donna L. Angotti	Date April 19, 2007	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

"Express Mail" mailing label number ER 651766680 US

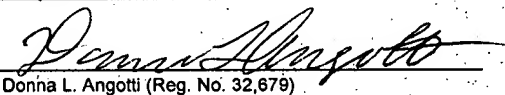
I, Donna L. Angotti (Reg. No. 32,679), hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below:

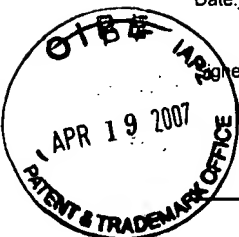
PATENT

Paper No.

File: 1902/005

Date: April 19, 2007

Signed: 
Donna L. Angotti (Reg. No. 32,679)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : ERLANGER, Michael D.
Serial No. : 09/370,619
Filed : 7 August 1999
For : EFFICIENT MARKET FOR FINANCIAL PRODUCTS
Group Art Unit : 3694
Examiner : COLBERT, Ella

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

SIR:

Transmitted herewith for filing in the above-identified patent application is the following:

1. Amendment and Response;
2. Clean Copy of Substitute Abstract;
3. Amended Copy of Substitute Abstract;
4. Petition for Extension of Time (3 Months);
5. Fee Transmittal Form;
6. Certificate of Express Mailing;